JC14 Rec'd PCT/PTO 1 4 MAY 2002



3869-026

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NO.

10/014,684

**FILING DATE** 

December 11, 2001

Wright et al

**GROUP ART UNIT** 

pending

**EXAMINER** 

pending

TITLE

METHODS AND APPARATUS FOR STROKE PATIENT TREATMENT

**Assistant Commissioner for Patents** BOX PCT Washington, D.C. 20231

## TRANSMITTAL OF ASSIGNMENT AND RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

The Applicant hereby transmits the following in response to the notice to file missing parts dated January 23, 2002:

- (1) Copy of Notice to File Missing Parts;
- (2) Combined Declaration and Power of Attorney;
- (3) Assignment and Recordal Form;
- (4) Checks in the amount of \$530.00 and \$40.00 for a two month extension, filing of the declaration and the assignment;
  - (5) fee transmittal form in duplicate; and
  - (6) an itemized post card for return.

The Commissioner is authorized to charge any additional fees that may be required, or to credit any overpayment, to our Deposit Account No. 07-1730.

I hereby certify that the foregoing documents are being deposited with the United States Postal Service, Express Mail, Post Office to Addressee in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on the date below. (Express Mail label: EL 803335901 US)

05/21/2002 GFREY1 00000116 10014684

GOTTLIEB, RACKMAN & REISMAN, P.C.

01 FC:116 02 FC:154

400.00 GP 130.00 DP

270 Madison Avenue

New York, New York 10016

(212) 684-3900

Raymond B. Churchill, Jr.

Reg. No. 44,617

Dated: May 14, 2002

#3

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE of Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT	OF PAYMENT	(\$) 5
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(\$) 530.00

Complete if Known				
Application Number	10/014,684			
Filing Date	December 11, 2001			
First Named Inventor	WRIGHT et al.			
Examiner Name	pending			
Group Art Unit	pending			
Attorney Docket No.	3869/026			

METHOD OF PAYMENT	FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES				
Deposit	Large Small Entity Entity				
Account Number 07-1730	Fee Fee Fee Fee Paic				
Deposit	Code (\$) Code (\$)  105 130 205 65 Surcharge - late filing fee or oath				
Account Name	100 100 200 00 Outstange Flate mining 100 of Outst				
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
Applicant claims small entity status.	139 130 139 130 Non-English specification				
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination				
2. Payment Enclosed:    Check   Credit card   Money   Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action				
FEE CALCULATION	113 1,840° 113 1,840° Requesting publication of SIR after Examiner action				
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month				
Large Entity Small Entity	116 390 216 195 Extension for reply within second month 400.00				
Fee Fee Fee Fee Description	117 890 217 445 Extension for reply within third month				
Code (\$) Code (\$) Fee Paid  101 710 201 355 Utility filing fee	118 1,390 218 695 Extension for reply within fourth month				
106 320 206 160 Design filing fee	128 1,890 228 945 Extension for reply within fifth month				
107 490 207 245 Plant filing fee	119 310 219 155 Notice of Appeal				
108 710 208 355 Reissue filing fee	120 310 220 155 Filing a brief in support of an appeal				
114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing				
(A) (A) 0 00	138 1,510 138 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$) 0.00	140 110 240 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES Fee from	141 1,240 241 620 Petition to revive - unintentional				
Ext <u>ra Claims below</u> Fee Paid	142 1,240 242 620 Utility issue fee (or reissue)				
Total Claims -20** = 0 X = 0.00	143 440 243 220 Design issue fee				
Claims^	144 600 244 300 Plant issue fee				
Multiple Dependent	122 130 122 130 Petitions to the Commissioner				
Lorgo Entitle Co II F-416.	123 130 123 130 Petitions related to provisional applications				
Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt				
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)				
102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))				
104 270 204 135 Multiple dependent claim, if not paid	149 710 249 355 For each additional invention to be				
109 80 209 40 ** Reissue independent claims over original patent	examined (37 CFR § 1.129(b))				
110 18 210 9 ** Reissue claims in excess of 20	179 710 279 355 Request for Continued Examination (RCE)				
and over original patent	169 900 169 900 Request for expedited examination of a design application				
SUBTOTAL (2) (\$) 0.00	Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 530.00				
or manual providually pare, in greater, i. or riolecade, dee above					

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Raymond B. Churchill, Jr.	Registration No. (Attorney/Agent)	44,617	Telephone	212-684-3900
Signature	1230m			Date	May 14, 2002

WARNING Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



# UNITED STATES PATENT AND TRADEMARK OFFICE

#3

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/014,684

270 Madison Avenue

New York, NY 10016-0601

Gottlieb, Rackman & Reisman, P.C.

12/11/2001

Wright

3869-026

**CONFIRMATION NO. 1015** 

**FORMALITIES LETTER** 

OC000000007347074\*

15 212 20A

Date Mailed: 01/23/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Deedre Washington